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Conference of the Parties serving as the meeting of the Parties to the Kyoto Protocol

Report of the Conference of the Parties serving as the meeting of the Parties to the Kyoto Protocol on its eighth session, held in Doha from 26 November to 8 December 2012

Addendum

Part Two: Action taken by the Conference of the Parties serving as the meeting of the Parties to the Kyoto Protocol at its eighth session

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Decision 3/CMP.8

Report of the Adaptation Fund Board

The Conference of the Parties serving as the meeting of the Parties to the Kyoto Protocol,

Recalling Article 12, paragraph 8, of the Kyoto Protocol,

Also recalling previous decisions made by the Conference of the Parties serving as the meeting of the Parties to the Kyoto Protocol on reports of the Adaptation Fund Board,

Taking note of the report of the Adaptation Fund Board,¹

Noting with concern the decline in market prices of certified emission reductions, and its expected impact on the availability of funding from the Adaptation Fund,

1. *Notes* the following actions and decisions taken by the Adaptation Fund Board in accordance with decision 1/CMP.4, paragraph 10:

(a) Accreditation of 14 national implementing entities, including eight during the reporting period, that can directly access resources from the Adaptation Fund;

(b) Cumulative approval of funding decisions on adaptation projects and programmes amounting to USD 166.5 million;

(c) The Board's decision to offer Fund certified emission reductions for direct purchase by governments;

(d) The Board's fundraising target set at USD 100 million until 2013;

2. *Also notes* that cumulative receipts into the Adaptation Fund Trust Fund have reached USD 301.1 million;

3. *Further notes* that funds available for new funding approvals amounted to USD 112.8 million by 30 June 2012 and that potential additional resources from the monetization of certified emission reductions up to the end of 2012 are estimated at USD 31.4 million, for total potential additional resources of USD 144.2 million for new project and programme approvals;²

4. *Notes with concern* issues related to the sustainability, adequacy and predictability of funding from the Adaptation Fund based on the current uncertainty on the prices of certified emission reductions and the continuation of the Adaptation Fund during and beyond the second commitment period of the Kyoto Protocol;

5. *Requests* the Adaptation Fund Board to report to the Subsidiary Body for Implementation at its thirty-eighth session on the status of resources of the Fund, trends in the flow of resources and any identifiable causes of these trends;

6. *Decides* to consider, at its ninth session, means to enhance the sustainability, adequacy and predictability of these resources, including the potential to diversify revenue

¹ FCCC/KP/CMP/2012/7.

² The estimates provided are based on publicly available information and do not in any way constitute Trustee predictions with respect to future certified emission reduction prices, exchange rates, certified emission reduction issuance or other variables. Based on spot prices for certified emission reductions and the exchange rate between the United States dollar and the euro observed during July 2012, and estimated certified emission reduction issuance from the United Nations Environment Programme Risoe Centre (FCCC/KP/CMP/2012/7, para. 37, footnote 9).

streams of the Adaptation Fund, taking into consideration the report of the Adaptation Fund Board as requested in paragraph 5 above;

7. *Takes note with appreciation* of the continued efforts of the Adaptation Fund Board to promote the accreditation of national implementing entities and direct access to Adaptation Fund resources;

8. *Also notes* the successful completion, in accordance with decision 5/CMP.6, paragraph 8, of a series of workshops on the accreditation of national implementing entities, which was concluded in 2012 with two workshops organized by the UNFCCC secretariat in collaboration with the Governments of the Philippines and Samoa, in Manila, the Philippines, from 19 to 21 March 2012 for the Asian and Eastern European regions, and in Apia, Samoa, from 23 to 25 April 2012 for the Pacific subregion;

9. *Welcomes* the financial contributions to the Adaptation Fund made by the Governments of Sweden, Switzerland and the United Kingdom of Great Britain and Northern Ireland, and the pledges of contributions to the Fund made by Australia and the Brussels-Capital Region of Belgium in accordance with decision 4/CMP.5, paragraph 9;

10. *Also welcomes* the financial contributions provided by the Governments of Australia, Japan, Norway, Spain, Sweden, Switzerland and the United Kingdom of Great Britain and Northern Ireland, and the support provided by the Governments of the Philippines and Samoa, the United Nations Development Programme and the United Nations Environment Programme, for the organization of the regional and subregional workshops on the accreditation of national implementing entities;

11. *Continues to encourage* Parties included in Annex I to the Convention and international organizations to provide funding to the Adaptation Fund, which will be additional to the share of proceeds from clean development mechanism project activities;

12. *Requests* the secretariat to prepare a technical paper, based on the experiences of bodies under the Convention and the wider United Nations system, on the process of selecting host institutions for entities under the Convention and the wider United Nations system, including the steps and time frames required to conduct open and competitive bidding processes, for consideration by the Subsidiary Body for Implementation at its thirty-eighth session.

9th plenary meeting
7 December 2012

Decision 4/CMP.8

Initial review of the Adaptation Fund

The Conference of the Parties serving as the meeting of the Parties to the Kyoto Protocol,

Recalling decisions 1/CMP.3, 5/CMP.5, 5/CMP.6, 6/CMP.6 and 7/CMP.7,

Noting the views of Parties and interested international organizations and stakeholders on the review of the Adaptation Fund in accordance with the annex to decision 6/CMP.6 and decision 1/CMP.3, paragraph 33,¹

Also noting the compilation and synthesis of additional, disaggregated information on the administrative costs of the Adaptation Fund Board prepared by the secretariat,² as well as the clarifications and additional information provided by the Chair of the Adaptation Fund Board and the Adaptation Fund Board secretariat, regarding the difficulties in comparing the administrative costs of the secretariats of different funds, during the thirty-seventh session of the Subsidiary Body for Implementation,

Taking note of the report on the review of the interim arrangements of the Adaptation Fund,³

1. *Recognizes* the effectiveness and efficiency of the interim secretariat of the Adaptation Fund Board and the International Bank for Reconstruction and Development (the World Bank), as interim trustee for the Adaptation Fund, in the delivery of their services to the Board, as well as the operational improvements identified in the report on the review of the interim arrangements of the Adaptation Fund;
2. *Notes with appreciation* recommendations made by the Adaptation Fund Board with respect to the interim institutional arrangements of the Adaptation Fund, as well as decisions taken with respect to operational issues involving the Board's interim secretariat and the interim trustee for the Fund, in response to the recommendations arising from the performance review of the interim arrangements of the Adaptation Fund;⁴
3. *Decides* that the interim institutional arrangements of the trustee of the Adaptation Fund, as provided by decision 1/CMP.3 and contained in the terms and conditions of services to be provided by the International Bank for Reconstruction and Development (the World Bank) as trustee of the Adaptation Fund, adopted by decision 1/CMP.4 and amended by decision 5/CMP.6, will be extended until June 2015;
4. *Requests* the Chair of the Adaptation Fund Board to discuss with the World Bank the extension of the terms and conditions of services to be provided by the International Bank for Reconstruction and Development (the World Bank) as trustee for the Adaptation Fund, in accordance with paragraph 3 above, and to submit a recommendation for consideration by the Conference of the Parties serving as the meeting of the Parties to the Kyoto Protocol at its ninth session;
5. *Decides* to extend the interim institutional arrangements of the secretariat of the Adaptation Fund Board, as provided by decision 1/CMP.3, until the completion of the second review of the Adaptation Fund in 2014;

¹ FCCC/KP/CMP/2011/MISC.1 and FCCC/SBI/2012/MISC.11 and Add.1.

² FCCC/SBI/2012/INF.8/Rev.1.

³ FCCC/KP/CMP/2011/6/Add.1, annex.

⁴ As footnote 3 above.

6. *Encourages* the Adaptation Fund Board to continue working with the interim trustee for the Adaptation Fund on further enhancing the process of monetization of certified emission reductions;
7. *Also encourages* the Adaptation Fund Board to continue enhancing access to funding from the Adaptation Fund, especially through its direct access modality;
8. *Requests* the Adaptation Fund Board to consider how to further improve accessibility to funding from the Adaptation Fund, especially through its direct access modality, and to report on its conclusions to the Conference of the Parties serving as the meeting of the Parties to the Kyoto Protocol at its ninth session;
9. *Notes with concern* issues related to the sustainability, adequacy and predictability of funding from the Adaptation Fund based on the current uncertainty regarding the prices of certified emission reductions and the continuation of the Adaptation Fund during and beyond the second commitment period of the Kyoto Protocol;
10. *Requests* the Subsidiary Body for Implementation, at its thirty-eighth session, to initiate the second review of the Adaptation Fund in accordance with the terms of reference contained in the annex to decision 6/CMP.6, or as these guidelines may be subsequently amended, and to report back to the Conference of the Parties serving as the meeting of the Parties to the Kyoto Protocol at its ninth session, with a view to the review being undertaken by the Conference of the Parties serving as the meeting of the Parties to the Kyoto Protocol at its tenth session.

9th plenary meeting
7 December 2012

Decision 5/CMP.8

Guidance relating to the clean development mechanism

The Conference of the Parties serving as the meeting of the Parties to the Kyoto Protocol,

Recalling the provisions of Articles 3 and 12 of the Kyoto Protocol and decision 1/CMP.6,

Cognizant of decisions 3/CMP.1, 7/CMP.1, 1/CMP.2, 2/CMP.3, 2/CMP.4, 2/CMP.5, 3/CMP.6, 8/CMP.7, 9/CMP.7 and 10/CMP.7,

I. General

1. *Takes note* of the annual report for 2011–2012 of the Executive Board of the clean development mechanism;¹
2. *Commends* the Executive Board for the substantial work undertaken over the past year;
3. *Welcomes* the success of the clean development mechanism during the first commitment period of the Kyoto Protocol, which to date has been responsible for over 5,200 clean development mechanism project activities being registered in over 80 countries, over 50 programmes of activities being registered in 27 countries, over one billion certified emission reductions being issued and in excess of USD 215 billion being invested;
4. *Stresses* the need to ensure the continued success of the clean development mechanism after the first commitment period of the Kyoto Protocol with regard to its contribution to the achievement of the objective of the Convention;
5. *Requests* the Executive Board to further improve the consistency, efficiency and transparency of its decision-making;
6. *Encourages* Parties to take into account the expected time commitments of members and alternate members, as specified in annex I to decision 3/CMP.6, when making nominations to the Executive Board;
7. *Takes note* of the work and recommendations of the policy dialogue on the clean development mechanism;
8. *Requests* the Executive Board to consider the recommendations arising from the policy dialogue on the clean development mechanism that fall within the scope of work of the Executive Board and the Marrakesh Accords;

II. Review of the modalities and procedures for the clean development mechanism

9. *Reiterates* that, pursuant to decision 3/CMP.1, the first review of the modalities and procedures for the clean development mechanism, as contained in the annex to decision 3/CMP.1, shall be carried out by the Conference of the Parties serving as the meeting of the Parties to the Kyoto Protocol at its ninth session;

¹ FCCC/KP/CMP/2012/3 (Parts I and II) and FCCC/KP/CMP/2012/11.

10. *Invites* Parties and admitted observer organizations to submit to the secretariat, by 25 March 2013, their views on possible changes to the modalities and procedures for the clean development mechanism;
11. *Requests* the secretariat to compile the submissions referred to in paragraph 10 above into a miscellaneous document, for consideration by the Subsidiary Body for Implementation at its thirty-eighth session;
12. *Also requests* the Executive Board to submit recommendations on possible changes to the modalities and procedures for the clean development mechanism, drawing upon the experience gained by the Executive Board, the secretariat and stakeholders in the implementation of the clean development mechanism, for consideration by the Subsidiary Body for Implementation at its thirty-eighth session;
13. *Further requests* the secretariat to organize, prior to the thirty-eighth session of the Subsidiary Body for Implementation, a workshop with the aim of facilitating the progress of the review of the modalities and procedures for the clean development mechanism, while ensuring broad participation of developing country Parties, subject to availability of resources;
14. *Requests* the Subsidiary Body for Implementation, at its thirty-ninth session, taking into account the work referred to in paragraphs 10–13 above, to prepare recommendations on possible changes to the modalities and procedures for the clean development mechanism, for consideration by the Conference of the Parties serving as the meeting of the Parties to the Kyoto Protocol at its ninth session, with a view to adopting a decision on this matter at that session;
15. *Takes note* of the estimated budgetary implications of the workshop referred to in paragraph 13 above;
16. *Invites* Parties to make voluntary contributions to the Trust Fund for Supplementary Activities for the organization of the workshop referred to in paragraph 13 above;
17. *Requests* that the actions of the secretariat called for in paragraph 13 above be undertaken subject to the availability of financial resources;

III. Governance

18. *Welcomes* the work undertaken by the Executive Board to consolidate and clarify its regulatory documents;
19. *Designates* as operational entities those entities that have been accredited, and provisionally designated, as operational entities by the Executive Board to carry out the sector-specific validation functions and/or sector-specific verification functions described in the annex to this decision;
20. *Decides* that the Executive Board may extend from three to five years the frequency of reaccrediting operational entities;
21. *Welcomes* the work undertaken by the Executive Board to develop voluntary measures to highlight the sustainable development co-benefits brought about by clean development mechanism project activities and programmes of activities;
22. *Requests* the Executive Board to evaluate the use of the voluntary sustainable development tool during 2013 and to report on its findings to the Conference of the Parties serving as the meeting of the Parties to the Kyoto Protocol at its ninth session;

23. *Welcomes* the work undertaken by the Executive Board to develop a procedure for addressing significant deficiencies in validation, verification and certification reports;
24. *Notes* that Parties may wish to address the issue of significant deficiencies in validation, verification and certification reports as part of the review of the modalities and procedures referred to in paragraph 9 above;
25. *Also notes* that Parties may wish to address the issue of a Party withdrawing or suspending a letter of approval for a project activity or programme of activities as part of the review of the modalities and procedures referred to in paragraph 9 above;
26. *Welcomes* the implementation by the Executive Board and the secretariat, following the request contained in decision 8/CMP.7, of procedures for the voluntary cancellation of certified emission reductions in the clean development mechanism registry;
27. *Commends* the Executive Board and the secretariat for their work on transparent and direct communication with stakeholders;
28. *Requests* the Executive Board to include information on the use of the modalities and procedures for direct communication with stakeholders in its annual report to the Conference of the Parties serving as the meeting of the Parties to the Kyoto Protocol;
29. *Encourages* Parties to share their experiences in relation to local stakeholder consultation processes;

IV. Baseline and monitoring methodologies and additionality

30. *Welcomes* the adoption by the Executive Board of improved standards for the demonstration of additionality, in particular with regard to “first-of-its-kind” and common practice;
31. *Encourages* the Executive Board to further extend the simplified modalities for the demonstration of additionality, including positive lists, to a wider scope of small-scale project activities, while ensuring environmental integrity;
32. *Welcomes* the work undertaken by the Executive Board in further developing and implementing the regulatory framework relating to standardized baselines;
33. *Also welcomes* the work undertaken by the Executive Board to streamline the regulatory framework in relation to programmes of activities;
34. *Requests* the Executive Board to continue its work on programmes of activities, inter alia:
 - (a) To ensure that the eligibility criteria for the inclusion of component project activities in programmes of activities adequately reflect differences in technology types in order to make programmes of activities homogeneous and to prevent debundling;
 - (b) To allow for practical approaches to addressing situations of missing data in relation to monitoring and verification of programmes of activities at the micro-scale level;
 - (c) To ensure that verification and validation are performed by the same designated operational entity only in cases of limited access to designated operational entities or significantly higher transaction costs and if the designated operational entity can ensure the implementation of processes to safeguard the impartiality and integrity of the designated operational entity in undertaking such activity;
35. *Encourages* the Executive Board to continue its work on the simplification and streamlining of methodologies, with the aim of reducing transaction costs for all project

activities and programmes of activities, especially those in regions underrepresented in the clean development mechanism;

36. *Requests* the Executive Board to consider the use of more cost-effective approaches in afforestation/reforestation methodologies for the estimation of baseline stocks and removals, including the use of remote sensing for monitoring, as long as conservative estimates are used to guarantee the environmental integrity of afforestation/reforestation projects;

37. *Also requests* the Executive Board to consider possible flexibility in the timing of the verification of afforestation and reforestation projects during a crediting period, while ensuring consistency with the principles of temporary certified emission reductions, and to report back on this matter to the Conference of the Parties serving as the meeting of the Parties to the Kyoto Protocol at its ninth session;

38. *Notes* that Parties may wish to address the length of crediting periods as part of the review of the modalities and procedures referred to in paragraph 9 above;

39. *Welcomes* the work undertaken by the Executive Board to adopt relevant documents regarding carbon dioxide capture and storage in geological formations as clean development mechanism project activities;

40. *Decides* that the eligibility under the clean development mechanism of carbon dioxide capture and storage in geological formations project activities which involve the transport of carbon dioxide from one country to another or which involve geological storage sites that are in more than one country and the establishment of a global reserve of certified emission reduction units for carbon dioxide capture and storage in geological formations project activities shall be considered by Subsidiary Body for Scientific and Technological Advice at its forty-fifth session;

41. *Also decides* that while carbon dioxide capture and storage in geological formations project activities which involve the transport of carbon dioxide from one country to another or which involve geological storage sites that are in more than one country would merit inclusion under the clean development mechanism, more practical experience of carbon dioxide capture and storage project activities in geological formations under the clean development mechanism would be beneficial;

V. Registration of clean development mechanism project activities and issuance of certified emission reductions

42. *Takes note* of the significant increase in the rates of submission of requests for registration and issuance, including the substantial increase in the number of requests for registration of programmes of activities under the clean development mechanism;

43. *Welcomes* the work being undertaken by the Executive Board and the secretariat to ensure the efficient processing of requests for registration and issuance during a period of significantly increased submissions;

44. *Requests* the Executive Board and the secretariat to continue seeking ways to streamline the processes for the registration of clean development mechanism project activities and programmes of activities, and the issuance of certified emission reductions, to ensure that the average time between the receipt of a submission and the commencement of the completeness check is fewer than 15 calendar days;

45. *Requests* the Executive Board to explore the possibility of reviewing the validation process of clean development mechanism project activities that are deemed to be automatically additional;

46. *Encourages* the Executive Board to improve the guideline on the application of materiality in verifications, based on experience gained through the implementation of the guideline;

VI. Regional and subregional distribution

47. *Welcomes* the launch and operationalization of the clean development mechanism loan scheme;

48. *Invites* Parties and institutions wishing to do so to make voluntary contributions to the clean development mechanism loan scheme, as described in decision 3/CMP.6, in order to expand the capacity of the scheme to provide loans to support eligible project activities;

49. *Welcomes* the establishment by the secretariat of regional collaboration centres to promote the clean development mechanism in regions underrepresented in the clean development mechanism and to support stakeholders at the regional and national levels;

50. *Notes* with appreciation the further activities undertaken by the Executive Board and the secretariat to promote the equitable distribution of project activities and programmes of activities, including the help desk and training for the designated national authorities of Parties underrepresented in the clean development mechanism;

51. *Reiterates* its request to the secretariat, as contained in decision 8/CMP.7, to continue enhancing its support for Parties underrepresented in the clean development mechanism;

52. *Also reiterates* its encouragement to designated operational entities, as contained in decision 2/CMP.5, to establish offices in developing countries, in line with the provisions of the clean development mechanism accreditation standard, in order to reduce the transaction costs for those countries and to contribute to achieving a more equitable distribution of clean development mechanism project activities and programmes of activities.

Annex

Entities accredited and provisionally designated by the Executive Board of the clean development mechanism and recommended for designation by the Conference of the Parties serving as the meeting of the Parties to the Kyoto Protocol for validation and verification/certification for specific sectoral scopes

<i>Name of entity</i>	<i>Provisionally designated and recommended for designation for sectoral scope</i>	
	<i>Project validation</i>	<i>Emission reduction verification</i>
Re-consult Ltd. ^a	1	1
URS Verification Private Limited (URS) ^a	1 and 13	1 and 13
Japan Consulting Institute (JCI) ^c	1, 2, 4, 5, 9, 10 and 13	1, 2, 4, 5, 9, 10 and 13
Korea Testing & Research Institute (KTR) ^a	1, 4, 5, 11 and 13	1, 4, 5, 11 and 13
Foundation of Industrial Development -Management System Certification Institute (Thailand) (FID-MASCI) ^a	1, 3, 4, 13 and 15	1, 3, 4, 13 and 15
TÜV SÜD Industrie Service GmbH (TÜV SÜD) ^c	1–15	1–15
Korea Energy Management Corporation (KEMCO) ^c	1–15	1–15
Swiss Association for Quality and Management Systems (SQS) ^c	1–15	1–15
China Environmental United Certification Center Co., Ltd (CEC) ^c	1–15	1–15
Perry Johnson Registrars Carbon Emissions Services (PJRCES) ^b	4	4
Japan Quality Assurance Organisation (JQA) ^c	1, 3–5, 11, 13 and 14	1, 3–5, 11, 13 and 14
DNV Climate Change Services AS (DNV) ^c	1–15	1–15
SGS United Kingdom Limited (SGS) ^c	1–13 and 15	1–13 and 15
ERM Certification and Verification Services Limited (ERM CVS) ^c	1–5, 8–10, 13 and 15	1–5, 8–10, 13 and 15
Korean Foundation for Quality (KFQ) ^c	1–5, 11 and 13	1–5, 11 and 13
RINA Services S.p.A. (RINA) ^c	1–8, 10, 11 and 13–15	1–8, 10, 11 and 13–15
Korean Standards Association (KSA) ^c	1–5 and 13	1–5 and 13
Korea Environment Corporation (KECO) ^c	1–7 and 13–15	1–7 and 13–15
Japan Management Association (JMA) ^c	1–4, 6, 8, 9 and 14	1–4, 6, 8, 9 and 14
Germanischer Lloyd Certification GmbH (GLC) ^c	1–5, 7, 8, 10, 13 and 15	1–5, 7, 8, 10, 13 and 15
China Quality Certification Center (CQC) ^c	1–15	1–15
SIRIM QAS International Sdn Bhd (SIRIM) ^c	1–4, 7, 10, 13 and 15	1–4, 7, 10, 13 and 15
TÜV Rheinland (China) Ltd. (TÜV Rheinland) ^c	1–15	1–15
TÜV SÜD South Asia Private Limited (transferred from TÜV SÜD Industrie Service GmbH) ^d	1–15	1–15
Instituto Brasileiro de Opinião Pública e Estatística Ltda. (IBOPE) ^a	1	1
Shenzhen CTI International Certification Co., Ltd (CTI) ^a	1–4,6,7,9,10 and 13	1–4,6,7,9,10 and 13

<i>Name of entity</i>	<i>Provisionally designated and recommended for designation for sectoral scope</i>	
	<i>Project validation</i>	<i>Emission reduction verification</i>
Ernst & Young Associés (France) (EYG) ^c	14	14

Note: The numbers 1 to 16 indicate sectoral scopes as determined by the Executive Board. For details, see
<http://cdm.unfccc.int/Reference/Standards/accr_stan01.pdf>.

^a Accreditation granted for three years.

^b Extension of scope. For the entities for which the scope of accreditation was extended, only the new sectoral scopes are indicated.

^c Re-accreditation for three years.

^d Transfer of accreditation.

*9th plenary meeting
8 December 2012*

Decision 6/CMP.8

Guidance on the implementation of Article 6 of the Kyoto Protocol

The Conference of the Parties serving as the meeting of the Parties to the Kyoto Protocol,

Mindful of the objective of the Convention as set out in Article 2,

Recalling the provisions of Articles 3 and 6 of the Kyoto Protocol,

Cognizant of decisions 2/CMP.1, 9/CMP.1, 10/CMP.1, 13/CMP.1, 2/CMP.2, 3/CMP.2, 3/CMP.3, 5/CMP.4, 3/CMP.5, 4/CMP.6 and 11/CMP.7,

Also recalling decision 1/CMP.6, paragraph 6(b),

Expressing its deep appreciation to the Parties that have contributed to funding the work on joint implementation,

Stressing the importance of constituencies nominating members and alternate members to the Joint Implementation Supervisory Committee who have the required qualifications, sufficient time and the commitment to serve on the Committee and perform their functions, in order to ensure that the Committee has the necessary expertise in, inter alia, financial, environmental and joint implementation regulatory matters and executive decision-making and works in an effective manner,

Noting with appreciation the information presented on the UNFCCC joint implementation website¹ regarding decisions of the Joint Implementation Supervisory Committee and the status of the work undertaken by the Committee;

I. General

1. *Takes note with appreciation* of the annual report of the Joint Implementation Supervisory Committee;²

2. *Notes with appreciation* that 327 project design documents, one programme of activities design document, 51 determinations regarding project design documents, 105 monitoring reports and 96 verifications of reductions in anthropogenic emissions by sources or enhancements of anthropogenic removals by sinks have been made publicly available in accordance with paragraphs 32, 34, 36 and 38 of the guidelines for the implementation of Article 6 of the Kyoto Protocol (joint implementation guidelines),³ that there are currently 11 accredited independent entities, and that to date over 400 million emission reduction units have been issued;

3. *Stresses* the need to ensure the continued success of joint implementation after the first commitment period of the Kyoto Protocol in contributing to the achievement of the objective of the Convention;

4. *Recalls* decisions 9/CMP.1, paragraph 8, 4/CMP.6, paragraph 15, and 11/CMP.7, paragraphs 14–17, regarding review and revision of the joint implementation guidelines;

¹ <<http://ji.unfccc.int/index.html>>.

² FCCC/KP/CMP/2012/4.

³ Decision 9/CMP.1, annex.

5. *Acknowledges with appreciation* that, in response to the request contained in decision 11/CMP.7, the Joint Implementation Supervisory Committee has proposed transitional measures as well as revised key attributes for joint implementation in the form of draft modalities and procedures for joint implementation;⁴
6. *Takes note with appreciation* of the submissions from Parties, intergovernmental organizations and admitted observer organizations on their views on the revision of the joint implementation guidelines,⁵ as well as the synthesis report prepared by the secretariat compiling those submissions;⁶
7. *Notes* the intent of the Joint Implementation Supervisory Committee, as described in its annual report, to continue to operate and provide guidance on the verification procedure established under section E of the joint implementation guidelines during the period before any amendments to Annex B to the Kyoto Protocol for the second commitment period enter into force, taking into account decision 4/CMP.6, paragraph 10;
8. *Requests* the secretariat to make data regarding the Party-specific amount of issuance of emission reduction units publicly available on its website in a user-friendly format and to update this information regularly;
9. *Reiterates* that Parties hosting Article 6 projects shall make publicly available, directly or through the secretariat, information on all such hosted projects, in accordance with decision 9/CMP.1, annex, paragraph 28, and decision 13/CMP.1, annex, paragraph 46, which shall include downloadable electronic versions in English of the project design document, including information on baseline setting, as well as determination, monitoring and verification, and data on the issuance of emission reduction units;
10. *Requests* designated focal points to make available on the UNFCCC website references to the web interface on which the documentation referred to in paragraph 9 above is published;

II. Governance

11. *Invites* the Joint Implementation Supervisory Committee:
 - (a) To continue to keep the joint implementation management plan under review and to make adjustments to it as necessary to ensure the efficient, cost-effective and transparent functioning of joint implementation;
 - (b) To continue its interaction with independent entities and project participants and its informal interactions with designated focal points;
12. *Invites* Parties and admitted observer organizations to submit to the secretariat, by 18 February 2013, further views on how the joint implementation guidelines and other decisions of the Conference of the Parties serving as the meeting of the Parties to the Kyoto Protocol pertaining to joint implementation should be revised;
13. *Requests* the secretariat to compile a report on possible changes to the joint implementation guidelines, drawing on the recommendations referred to in paragraphs 5 and 6 above, the submissions of views from Parties and admitted observer organizations mentioned in paragraph 12 above, the recommendations of the Joint Implementation Supervisory Committee contained in its annual report to the Conference of the Parties serving as the meeting of the Parties to the Kyoto Protocol at its eighth session, and the experience

⁴ FCCC/KP/CMP/2012/4, paragraph 25(c), and FCCC/KP/CMP/2012/5.

⁵ FCCC/KP/CMP/2012/MISC.1.

⁶ FCCC/KP/CMP/2012/INF.1.

gained by the Joint Implementation Supervisory Committee and by stakeholders in the implementation of joint implementation, for consideration by the Subsidiary Body for Implementation at its thirty-eighth session;

14. *Requests* the Subsidiary Body for Implementation, at its thirty-eighth session, taking into account the submissions and the compilation report referred to in paragraphs 12 and 13 above, to prepare recommendations, including draft revised joint implementation guidelines, for consideration by the Conference of the Parties serving as the meeting of the Parties to the Kyoto Protocol at its ninth session;

15. *Agrees*, with regard to the review of the joint implementation guidelines, on the following set of key attributes that shall characterize the future operation of joint implementation:

- (a) A single unified track for joint implementation projects;
 - (b) Closely aligned or unified accreditation procedures between joint implementation and the clean development mechanism that take into account differences in the respective modalities and procedures of the two mechanisms;
 - (c) Clear and transparent information regarding all relevant public information required for joint implementation projects by stakeholders, accredited independent entities and host Parties in English on the UNFCCC website in accordance with decision 13/CMP.1;
 - (d) An appeals process under the authority of and accountable to the Conference of the Parties serving as the meeting of the Parties to the Kyoto Protocol against decisions of the Joint Implementation Supervisory Committee;
 - (e) Clear, transparent and objective requirements to ensure that projects are additional to what would otherwise occur;
 - (f) Mandatory requirements for host Parties with respect to the approval of baselines, monitoring and reporting, including clear, transparent and objective requirements for the setting of standardized baselines by host Parties;
16. *Requests* the Subsidiary Body for Implementation, in preparing the revised joint implementation guidelines referred to in paragraph 14 above, to address:
- (a) The level of oversight needed to assure a common approach among host Parties;
 - (b) The additionality of joint implementation projects, recognizing such concepts as positive lists of project types that would automatically be deemed additional and prior consideration of joint implementation projects, taking into account, as appropriate, the application of standardized baselines;
 - (c) The issuance of emission reduction units, taking into account the information referred to in paragraph 13 above, including changes to decision 13/CMP.1, as necessary;
 - (d) The consistency of the accounting of Article 6 projects aimed at enhancing anthropogenic removals by sinks with decision 9/CMP.1, paragraph 4, and Article 3, paragraph 4, of the Kyoto Protocol;

III. Resources for the work on joint implementation

17. *Endorses* the revision of the fee structure as finalized by the Joint Implementation Supervisory Committee in response to the request contained in decision 11/CMP.7;

18. *Takes note* of the improved financial situation for joint implementation, in particular due to the introduction of fees for projects implemented in accordance with paragraph 23 of the joint implementation guidelines (Track 1 procedure).

9th plenary meeting
8 December 2012

Decision 7/CMP.8

Supplementary information incorporated in national communications from Parties included in Annex I to the Convention that are also Parties to the Kyoto Protocol and submitted in accordance with Article 7, paragraph 2, of the Kyoto Protocol

The Conference of the Parties serving as the meeting of the Parties to the Kyoto Protocol,

Recalling the relevant provisions of the Kyoto Protocol, in particular Article 5, Article 7, paragraphs 2 and 3, and Articles 10 and 11,

Also recalling decisions 14/CP.7, 9/CP.16, 2/CP.17, 15/CMP.1, 22/CMP.1, 8/CMP.3 and 10/CMP.6,

Emphasizing that the national communications and annual greenhouse gas inventories submitted by Parties included in Annex I to the Convention as defined in Article 1, paragraph 7, of the Kyoto Protocol are the main source of information for reviewing the implementation of the Convention and its Kyoto Protocol by these Parties, and that the reports of the in-depth reviews of these national communications provide important additional information for this purpose,

Welcoming the work of the secretariat in preparing the compilation and synthesis of supplementary information incorporated in fifth national communications submitted in accordance with Article 7, paragraph 2, of the Kyoto Protocol,¹

Requests Parties included in Annex I to the Convention that are also Parties to the Kyoto Protocol to continue their reporting efforts and to include in their sixth national communications² the necessary supplementary information required under the guidelines for the reporting of supplementary information under Article 7, paragraph 2, of the Kyoto Protocol.³

*9th plenary meeting
7 December 2012*

¹ FCCC/SBI/2011/TNF.2.

² Decision 9/CP.16.

³ Decision 15/CMP.1, annex, part II.

Decision 8/CMP.8

Methodology for the collection of international transaction log fees in the biennium 2014–2015

The Conference of the Parties serving as the meeting of the Parties to the Kyoto Protocol,

Recalling Article 13, paragraph 5, of the Kyoto Protocol,

Also recalling decisions 11/CMP.3, 10/CMP.5 and 9/CMP.6,

Recognizing the importance of sufficient and stable funding for the international transaction log,

1. *Notes* that the international transaction log will continue to operate in the biennium 2014–2015;
2. *Adopts* the scale of fees, which takes into consideration the Kyoto Protocol adjusted scale, for the international transaction log for the biennium 2014–2015, as contained in the annex to this decision;
3. *Decides* that fees for the international transaction log paid by Parties for the biennium 2014–2015 shall be calculated by multiplying the scale of fees for that Party by the budget for the international transaction log for the biennium 2014–2015, with the fees for the first year of the biennium being equal to the fees for the second year of the biennium, for each Party;
4. *Requests* the Subsidiary Body for Implementation to include, in its draft decision on the programme budget for the biennium 2014–2015 to be recommended for adoption by the Conference of the Parties serving as the meeting of the Parties to the Kyoto Protocol at its ninth session, a table listing the level of fees for the international transaction log calculated in accordance with paragraph 3 above, for each Party;
5. *Also requests* the Executive Secretary to notify Parties planning to use the international transaction log of the annual fees to cover the budget for the international transaction log for the biennium 2014–2015, subject to paragraph 8 below, as early as possible and at least four months in advance of the relevant calendar year;
6. *Decides* that, if a Party to the Kyoto Protocol with a quantified emission limitation or reduction commitment listed in Annex B to the Kyoto Protocol that is not listed in the annex to this decision decides to use the international transaction log in the biennium 2014–2015, the scale of fees for that Party shall be made equal to 130 per cent of its Kyoto Protocol adjusted scale for 2014–2015;
7. *Also decides* that fees paid by a Party that has not previously used the international transaction log shall be made proportional to the period between the date of connection of its national registry and the end of the biennium and shall be deducted from the resource requirement of activities relating to the international transaction log in the next biennium;
8. *Authorizes* the international transaction log administrator to deny or suspend national registry operations with the international transaction log in the event of non-payment of the fee by the Party of this national registry, although not earlier than four months after the beginning of the relevant calendar year, subject to at least two reminders having been given to the Party and consultation having taken place with the Party concerned prior to the final reminder;

9. *Requests* the international transaction log administrator to provide, in its annual reports for 2013 and 2014, information on transactions of Kyoto Protocol units;
10. *Also requests* the international transaction log administrator to publish, in its annual reports, the scale of fees and status of payments of international transaction fees for each Party to the Kyoto Protocol with a quantified emission limitation or reduction commitment listed in Annex B to the Kyoto Protocol.

*9th plenary meeting
7 December 2012*

Decision 9/CMP.8

Proposal from Kazakhstan to amend Annex B to the Kyoto Protocol

The Conference of the Parties serving as the meeting of the Parties to the Kyoto Protocol,

Recalling decisions 8/CMP.6 and 13/CMP.7,

Also recalling its conclusions at its fifth session,¹ in which it noted that following the ratification of the Kyoto Protocol by Kazakhstan on 19 June 2009, and its entry into force for Kazakhstan on 17 September 2009, Kazakhstan became a Party included in Annex I to the Convention for the purposes of the Kyoto Protocol, while remaining a Party not included in Annex I to the Convention for the purposes of the Convention,

1. *Welcomes* the efforts of Kazakhstan to transition its economy towards a green economy since becoming a Party to the Kyoto Protocol;
2. *Acknowledges with appreciation* the continued efforts of Kazakhstan since 2009 to become a Party included in Annex I with a commitment inscribed in Annex B to the Kyoto Protocol;
3. *Welcomes with appreciation* the intention of Kazakhstan to participate as a Party included in Annex I with a commitment inscribed in Annex B to the Kyoto Protocol for the second commitment period of the Kyoto Protocol;
4. *Agrees* that it has concluded its consideration of the proposal from Kazakhstan to amend Annex B to the Kyoto Protocol in respect of the first commitment period.²

*9th plenary meeting
7 December 2012*

¹ FCCC/KP/CMP/2009/21, paragraph 91.

² FCCC/KP/CMP/2010/4.

Decision 10/CMP.8

Capacity-building under the Kyoto Protocol for developing countries

The Conference of the Parties serving as the meeting of the Parties to the Kyoto Protocol,

Recalling decisions 29/CMP.1, 6/CMP.4, 15/CMP.7, 2/CP.7 and 2/CP.17, paragraph 144,

1. *Decides* that the Durban Forum for in-depth discussion on capacity-building, established by decision 2/CP.17, is an appropriate arrangement for sharing experiences and exchanging ideas, best practices and lessons learned regarding the implementation of capacity-building activities related to the Kyoto Protocol with the participation of Parties, representatives of the relevant bodies established under the Convention and relevant experts and practitioners;
2. *Encourages* Parties to further improve the implementation of capacity-building activities related to the implementation of the Kyoto Protocol and to report on the effectiveness and sustainability of related capacity-building progress;
3. *Invites* Parties to submit their views as part of their annual submissions in accordance with decision 4/CP.12, by 18 February 2013, on specific thematic issues related to capacity-building for the implementation of the Kyoto Protocol in developing countries, to be considered at the 2nd meeting of the Durban Forum, to be held at the thirty-eighth session of the Subsidiary Body for Implementation;
4. *Requests* the Subsidiary Body for Implementation to take into account the views expressed in the submissions referred to in paragraph 3 above in organizing the 2nd meeting of the Durban Forum.

*9th plenary meeting
7 December 2012*

Decision 11/CMP.8

Capacity-building under the Kyoto Protocol for countries with economies in transition

The Conference of the Parties serving as the meeting of the Parties to the Kyoto Protocol,

Recalling decisions 3/CP.7, 3/CP.10 and 30/CMP.1,

Acknowledging that capacity-building for countries with economies in transition is essential to enable them to implement effectively their commitments under the Kyoto Protocol,

Having considered the information in documents prepared by the secretariat in support of the third review of the implementation of the framework for capacity-building in countries with economies in transition established under decision 3/CP.7,¹

1. *Recognizes* that:

(a) Significant progress has been made in building the capacity of countries with economies in transition, in particular as relates to their participation in joint implementation projects; some countries with economies in transition have not only been the recipients of assistance but have also started to transfer their own expertise, knowledge and lessons learned on capacity-building to Parties not included in Annex I to the Convention;

(b) Parties in a position to do so have provided adequate resources and assistance for the implementation of the framework for capacity-building in countries with economies in transition established under decision 3/CP.7;

(c) Notwithstanding the progress made, those countries with economies in transition that are currently receiving support are in need of further capacity-building to effectively implement their commitments under the Kyoto Protocol;

2. *Reaffirms* that the scope of the needs identified in the framework for capacity-building in countries with economies in transition established under decision 3/CP.7 and the key factors identified in decision 3/CP.10 remain relevant and continue to be the basis for, and guide the implementation of, capacity-building activities relating to the implementation of the Kyoto Protocol in those countries with economies in transition that are currently receiving support;

3. *Invites* Parties included in Annex II to the Convention and other Parties in a position to do so, multilateral and bilateral agencies, other international organizations, and the private sector, or any further arrangements as appropriate, to continue to provide support for capacity-building activities relating to the implementation of the Kyoto Protocol in those countries with economies in transition that are currently receiving support;

4. *Decides* to conclude the third review and to conduct the fourth review of the implementation of the framework for capacity-building in countries with economies in transition at the forty-sixth session of the Subsidiary Body for Implementation, with a view to completing this review at the thirteenth session of the Conference of the Parties serving as the meeting of the Parties to the Kyoto Protocol;

5. *Invites* Parties and relevant organizations to submit to the secretariat, by February 2016, information on how they have implemented capacity-building activities in those

¹ FCCC/SBI/2012/10 and FCCC/SBI/2012/MISC.5.

countries with economies in transition that are currently receiving support; Parties could include this information as part of their annual submissions on capacity-building in accordance with decision 4/CP.12, paragraph 1(a);

6. *Requests* the secretariat to compile and synthesize the information referred to in paragraph 5 above and make it available for consideration by the Subsidiary Body for Implementation at its forty-sixth session.

*9th plenary meeting
7 December 2012*

Decision 12/CMP.8

Compliance Committee

The Conference of the Parties serving as the meeting of the Parties to the Kyoto Protocol,

Recalling Article 18 of the Kyoto Protocol,

Also recalling decisions 27/CMP.1, 4/CMP.2, 5/CMP.3, 4/CMP.4, 6/CMP.5, 13/CMP.6 and 12/CMP.7,

Having considered the annual report of the Compliance Committee to the Conference of the Parties serving as the meeting of the Parties to the Kyoto Protocol,¹

Expressing its appreciation to Parties that have contributed to funding the work of the Compliance Committee,

1. *Notes with appreciation* the annual report of the Compliance Committee to the Conference of the Parties serving as the meeting of the Parties to the Kyoto Protocol;
2. *Commends* the Compliance Committee for the substantial work undertaken on the implementation of decision 27/CMP.1;
3. *Acknowledges* the continued interest of the Compliance Committee in having any legal arrangements for privileges and immunities adopted by the Conference of the Parties serving as the meeting of the Parties to the Kyoto Protocol cover members and alternate members of the Committee;²
4. *Notes* that the Joint Implementation Supervisory Committee is seeking to elaborate modalities and procedures for the implementation of Article 6 of the Kyoto Protocol,³ which may have implications for the procedures and mechanisms relating to compliance under the Kyoto Protocol, contained in the annex to decision 27/CMP.1;
5. *Requests* the Compliance Committee to consider any implications for the procedures and mechanisms relating to compliance under the Kyoto Protocol arising from decision 6/CMP.8 and to report back to the Conference of the Parties serving as the meeting of the Parties to the Kyoto Protocol at its ninth session;
6. *Acknowledges* the value to the work of the Compliance Committee of establishing a dialogue with compliance bodies under other treaties to exchange information on compliance-related matters and therefore encourages the Compliance Committee to respond positively to invitations from such compliance bodies to exchange information;
7. *Notes* the conclusions of the Subsidiary Body for Implementation at its thirty-sixth session with respect to the travel-related expenditure of members and alternate members of the Compliance Committee for participation in meetings of constituted bodies established under the Kyoto Protocol;⁴

¹ FCCC/KP/CMP/2012/6.

² FCCC/KP/CMP/2012/6, paragraph 15.

³ See annex 1 to the report on the thirtieth meeting of the Joint Implementation Supervising Committee. Available at <http://ji.unfccc.int/Sup_Committee/Meetings/030/ann1.pdf>.

⁴ FCCC/SBI/2012/15, paragraphs 265–270.

8. *Also notes* decision 11/CMP.7, paragraph 29, concerning the costs of travel and the daily subsistence allowance of members and alternate members of the Joint Implementation Supervisory Committee;

9. *Invites* Parties to make contributions to the Trust Fund for Supplementary Activities to support the work of the Compliance Committee in the biennium 2012–2013.

*9th plenary meeting
7 December 2012*

Decision 13/CMP.8

Administrative, financial and institutional matters

The Conference of the Parties serving as the meeting of the Parties to the Kyoto Protocol,

Recalling Article 13, paragraph 5, of the Kyoto Protocol,

Also recalling decision 18/CP.17, in particular paragraph 11,

Further recalling paragraph 11 of the financial procedures of the Conference of the Parties, which also applies to the Kyoto Protocol,¹

Taking note of decision 25/CP.18,

Having considered the information contained in the documents prepared by the secretariat on administrative, financial and institutional matters,²

I. Audited financial statements for the biennium 2010–2011

1. *Takes note* of the audited financial statements for the biennium 2010–2011, the audit report of the United Nations Board of Auditors, which includes recommendations, and the comments of the secretariat thereon;
2. *Expresses its appreciation* to the United Nations for arranging the audits of the accounts of the Convention and for the valuable observations and recommendations of the auditors;
3. *Urges* the Executive Secretary to implement the recommendations of the auditors, as appropriate;

II. Audited financial statements for the biennium 2010–2011

4. *Takes note* of the reporting on budget performance for the biennium 2012–2013 as at 30 June 2012 and of the updated status of contributions as at 15 November 2012 to the trust funds administered by the secretariat;
5. *Endorses* decision 25/CP.18 on budget performance for the biennium 2012–2013, as it applies to the Kyoto Protocol;
6. *Expresses its appreciation* to Parties that have paid their contributions to the core budget and to the international transaction log³ in a timely manner;
7. *Calls upon* Parties that have not paid their contributions to the core budget and to the international transaction log⁴ to do so without delay, bearing in mind that contributions are due on 1 January of each year in accordance with the financial procedures of the Conference of the Parties;

¹ Decision 15/CP.1, annex I.

² FCCC/SBI/2012/23, FCCC/SBI/2012/24 and Add.1 and 2 and FCCC/SBI/2012/INF.12 and Corr.1.

³ FCCC/SBI/2012/INF.12 and Corr.1, table 7.

⁴ As footnote 3 above.

8. *Expresses its appreciation* for the contributions received from Parties to the Trust Fund for Participation in the UNFCCC Process and to the Trust Fund for Supplementary Activities;
9. *Urges* Parties to further contribute to the Trust Fund for Participation in the UNFCCC Process, in order to ensure the widest possible participation in the negotiations in 2013, and to the Trust Fund for Supplementary Activities;
10. *Reiterates its appreciation* to the Government of Germany for its annual voluntary contribution to the core budget of EUR 766,938 and its special contribution of EUR 1,789,522 as Host Government to the secretariat in Bonn;
11. *Welcomes* the Executive Secretary's commitment to increasing the cost-effectiveness of the secretariat's operations and, in this context, the efforts undertaken by the secretariat, in cooperation with the Government of Germany, to consolidate Bonn as the hub for UNFCCC sessions and meetings, in order to reduce costs and further enhance the facilities and services made available at the secretariat's headquarters;

III. Programme budget for the biennium 2014–2015

12. *Requests* the Executive Secretary to submit, for consideration by the Subsidiary Body for Implementation at its thirty-eighth session, a proposed programme budget for the biennium 2014–2015;
13. *Also requests* the Executive Secretary, when preparing the programme budget for the biennium 2014–2015, to prepare a contingency for funding conference services, should this prove necessary in the light of decisions taken by the General Assembly at its sixty-eighth session;
14. *Requests* the Subsidiary Body for Implementation to recommend, at its thirty-eighth session, a programme budget for adoption by the Conference of the Parties at its nineteenth session and by the Conference of the Parties serving as the meeting of the Parties to the Kyoto Protocol at its ninth session;
15. *Also requests* the Subsidiary Body for Implementation to authorize the Executive Secretary to notify Parties of their indicative contributions for 2014 on the basis of the recommended budget.

9th plenary meeting
7 December 2012

Resolution 1/CMP.8

Expression of gratitude to the Government of the State of Qatar and the people of the city of Doha

Draft resolution submitted by Poland

The Conference of the Parties and the Conference of the Parties serving as the meeting of the Parties to the Kyoto Protocol,

Having met in Doha from 26 November to 7 December 2012 at the invitation of the Government of the State of Qatar,

1. *Express their profound gratitude* to the Government of the State of Qatar for having made it possible for the eighteenth session of the Conference of the Parties and the eighth session of the Conference of the Parties serving as the meeting of the Parties to the Kyoto Protocol to be held in Doha;
2. *Request* the Government of the State of Qatar to convey to the people of Doha the gratitude of the Conference of the Parties and the Conference of the Parties serving as the meeting of the Parties to the Kyoto Protocol for the hospitality and warmth extended to the participants.

*9th plenary meeting
8 December 2012*



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